

United States Patent AND ARRIDEMARK OFFICE

FEB 0 9 2001

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/692,778

10/19/2000

Tatsuki Wade

81868.0017

26021 HOGAN & HARTSON L.L.P. 500 S. GRAND AVENUE SUITE 1900 LOS ANGELES, CA 90071-2611



Date Mailed: 12/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$170.
 - \$90 for 5 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
 - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 1010.

710.00 90.00 130.00 99999

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

)2/13/2001)1 FC:101)2 FC:103)3 FC:102







3662

Commissioner for Patents Washington D.C. 20231, on

ebruary 6, 2001

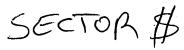
Date of Deposit

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Examiner: Not assigned

Art Unit:



PATENT 81868.0017

2/6/01

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tatsuki WADE et al.

Serial No: 09/692,778

Filed: October 19, 2000

For: OPTICAL PICKUP APPARATUS AND

METHOD FOR MANUFACTURING THE SAME

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box MISSING PARTS Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated December 13, 2000, response to which is due February 13, 2001, enclosed are the following:

A copy of the "Notice to File Missing Parts of Application Filing Date Granted."

A "Declaration and Power of Attorney" executed by the inventor(s).

A check in the amount of \$880.00 in payment of the filing fee.

A check in the amount of \$130.00 to cover the surcharge for late filing of the Declaration.

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-13 14. A copy of this letter is enclosed.

Respectfully submitted

Date: Februar 6, 2001

By: Louis A. Mol

Registration No. 22,585 Attorney for Applicant(s) **HOGAN & HARTSON L.L.P.**

500 South Grand Avenue, Suite 1900

Los Angeles, California 90071

Phone: 213-337-6700 Fax: 213-337-6701

\\LA - 81868/17 - #101737 v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Respectfully submitted

Date: February 6, 2001

By: Louis A. Mok

Registration No. 22,585 Attorney for Applicant(s)

HOGAN & HARTSON L.L.P. 500 South Grand Avenue, Suite 1900

Los Angeles, California 90071

Phone: 213-337-6700 Fax: 213-337-6701

Art Unit: 3662

Examiner: Not assigned

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Commissioner for Patents Washington D.C. 20231, on
Rebruary 6, 2001 Date of Deposit
ApuistA-Nok
Mante 1 2/6/01
Signature Date